

Department of Homeland Security Federal Emergency Management Agency

General Info

Project #	XXXXX	P/W #	XXXX	Project Type	Standard
Project Category	G - Parks, Recreational Facilities, and Other Items			Applicant	XXXXXXXXXXXXX
Project Title	Structures and Equipment			Event	4614DR-NJ (4614DR)
Project Size	Small			Declaration Date	9/5/2021
Activity Completion Date	9/04/2024			Incident Start Date	9/01/2021
Process Step	Obligated			Incident End Date	9/3/2021

Damage Description and Dimensions

The Disaster # 4614DR, which occurred between *09/01/2021* and *09/03/2021*, caused:

Damage #1218646;XXXXXXXX:

- **Facility Type:** Mass Transit Facilities
- **Facility:** Light Rail/XXXXX
- **Facility Description:** System that prevents conflicting movements of railway vehicles.
- **Approx. Year Built:** 0
- **Location Description:** XXX
GPS Latitude/Longitude: 40.XXXX, -74.XXXX
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General Damage Information:

- **Date Damaged:** 9/1/2021 to 9/3/2021
- **Cause of Damage:** Surface water flooding caused by rain from remnants of Hurricane Ida and debris caught in surface flooding entered switching machines.

Facility Damage:

- Railroad switching system, 1 each of Hitachi Switching Machine, part number N4511600627 M23B,RH,189,HV,HTR, Surface flood water entered switching machine causing physical damage., 0% work completed.
- Railroad switching system, 1 each of Hitachi Switching machine, model number N4511600628 M23B,LH,189,HV,HTR, Surface flood water entered switching machine causing physical damage. , 0% work completed.
- Railroad switching system, 2 each of Hitachi Switching machine, model number N4511600665 M23B,LH,189,HV HTR,FPT, Surface flood water entered switching machine causing physical damage to switching machine., 0% work completed.
- Railroad switching system, 2 each of Hitachi Switching machine, model number N4511600666 M23B,RH,189,HV,HTR,FPT, Surface flood water entered switching machines causing physical damage., 0% work completed.

This is a tabletop site inspection. All information and photos were provided by the applicant. A physical site inspection was not conducted by a site inspector.

Information and dimensions were used as provided by applicant.

Applicant unable to provide photographs.

Damage information comes from proposal and may not list original damaged components.

Damage #1218647; Tunnel and Drainage Repairs

General Facility Information:

- **Facility Type:** Mass Transit Facilities
- **Facility:** Elevators at XXXX , XXXX, XXXX, and XXXX
- **Facility Description:** Passenger Elevators
- **Approx. Year Built:** 0
- **Location Description:** xxxxx
- **GPS Latitude/Longitude:** 40.XXXXX, -74.XXXXX

General Damage Information:

- **Date Damaged:** 9/1/2021 to 9/3/2021
- **Cause of Damage:** Surface water flooding entered door mechanism and elevator pits.

Facility Damage:

XXXX Street Station Elevator, GPS: 40.XXXXX, -74.XXXXX:

- Elevators, 1 each of pit hydraulic line, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of hydraulic fitting, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of 0, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of bottom floor door interlock, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of door gibs, set, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of door closure, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of safety edge, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.

XXXX Elevator, GPS: 40.XXXXX, -74.XXXXX:

- Elevators, 1 each of Victaulic pipe, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of Victaulic fittings, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and

electrical/electronic damage., 80% work completed.

- Elevators, 1 each of rupture valve, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of door rollers, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of door gibs, set, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of door interlock, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of siemens soft start, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of CR1P board,, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of GCIO360 board, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of EBLON board, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.

XXXXXElevator, GPS: 40.XXXXX, -74.XXXXX:

- Elevators, 1 each of pit hydraulic line, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of hydraulic fitting, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of rupture valve, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of bottom floor door interlock, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of door gibs, set, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of door closure, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of packing, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of safety edge, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.

XXXXX Elevator, GPS: 40.XXXXX, -74.XXXXX:

- Elevators, 1 each of pit hydraulic line, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of hydraulic fitting, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of rupture valve, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and

electrical/electronic damage., 80% work completed.

- Elevators, 1 each of bottom floor door interlock, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of door gibs, set, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of door closure, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of packing, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.
- Elevators, 1 each of safety edge, Surface floodwaters entered elevator pits, door mechanisms and some elevator mechanical rooms causing mechanical and electrical/electronic damage., 80% work completed.

This is a tabletop site inspection. All information and photos were provided by the applicant. A physical site inspection was not conducted by a site inspector.

Information and dimensions were used as provided by applicant.

Information provided to Site Inspector is of a different type of damage than that in the Damage Description on Work order Report and Work order.

Damage #1218648; XXXXX

General Facility Information:

- **Facility Type:** Mass Transit Facilities
- **Facility:** XXXXX
- **Facility Description:** Rail Signal System.
- **Approx. Year Built:** 0
- **Location Description:** XXXXX
- **GPS Latitude/Longitude:** 40.XXXXX, -74.XXXXX

General Damage Information:

- **Date Damaged:** 9/1/2021 to 9/3/2021
- **Cause of Damage:** Surface flood waters entered system components .

Facility Damage:

- Signals, 4 each of impedance bonds, 2970T/387R Part Number 800-0990006-10, Surface water flooding entered system causing electrical and physical damage., 10% work completed.
- Signals, 3 each of impedance bonds, 3330T/432R Part Number 800-0990006-11, Surface flooding entered system causing electrical and physical damage., 10% work completed.
- Signals, 2 each of Pole, P/N 45901-01, C/L ASSY 4P R/L/G/R W/BRCS, Surface flooding entered system resulting in electrical and physical damage., 10% work completed.
- Signals, 2 each of Head, P/N 41769-01 WAYSIDE SIGNAL 4P , Surface flood waters entered system resulting in physical and electrical damage., 10% work completed.
- Signals, 1 each of Signal Cabinet, Selco Part Number, NE corten enclosure, 31 IN long x 54.75 IN high x 24 IN thick, Surface Flooding entered system resulting in electrical and physical damage. , 10% work completed.

This is a tabletop site inspection. All information and photos were provided by the applicant. A physical site inspection was not conducted by a site inspector.

Information and dimensions were used as provided by applicant.

Applicant unable to provide photos.

Final Scope

1218646

LOCATION XXXXX

Work to be completed

The applicant will utilize contracts for repairs to the XXXX restore facilities back to pre-disaster design, capacity and function within the existing footprint with in-kind materials.

Facility Damage:

- A. Remove and replace, 1 each of Hitachi Switching Machine, part number N4511600627 M23B, RH,189, HV,HTR.
- B. Remove and replace, 1 each of Hitachi Switching machine, model number N4511600628 M23B, LH,189, HV, HTR.
- C. Remove and replace, 2 each of Hitachi Switching machine, model number N4511600665 M23B, LH,189,HV HTR,FPT.
- D. Remove and replace, 2 each of Hitachi Switching machine, model numberN4511600666 M23B, RH,189,HV,HTR,FPT.

Work to be Completed total: \$125,308.00

Total Project Cost: \$471,826.00

Project Notes:

1. All site for work to be completed were provided by Applicant and validated by FEMA. See document labeled **ST 668260-4614DR-XXX Cost Estimate Validation.xlsx**.
2. GPS coordinates have been checked for accuracy.
3. For work to be completed, the applicant is required to obtain any necessary Federal, State, and Local environmental permits prior to the start of construction.
4. Please look for Maintenance Records in applicant's section. See document labeled: **DR 4614_XXXXX Project 668260 Maintenance Record.pdf**.
5. All procurement documents attached have been reviewed and will be in accordance with state and federal requirements. See attached documents labeled: **Procurement.zip**
6. DDD does not include construction date. A search on the internet indicates that the XXXX system began operation its first segment in April 2000 and expanded in phases during the next decade. See link

1218647

LOCATION XXXXX Repairs

Work to be completed

The applicant will utilize contracts for repairs to the XXXX to restore facilities back to pre-disaster design, capacity and function within the existing footprint with in-kind materials.

Facility Damage:

SITE 1

- A. Remove and replace, 1 each of pit hydraulic line.
- B. Remove and replace, 1 each of hydraulic fitting.
- C. Remove and replace, 1 each of rupture valve.
- D. Remove and replace, 1 each of bottom floor door interlock.
- E. Remove and replace, 1 each of door gibs, set.
- F. Remove and replace, 1 each of door closure.
- G. Remove and replace, 1 each of safety edge.

SITE 2

- H. Remove and replace, 1 each of Victaulic pipe.
- I. Remove and replace, 1 each of Victaulic fittings.
- J. Remove and replace, 1 each of rupture valve.
- K. Remove and replace, 1 each of door rollers.
- L. Remove and replace, 1 each of door gibs, set.
- M. Remove and replace, 1 each of door interlock.
- N. Remove and replace, 1 each of siemens soft start.
- O. Remove and replace, 1 each of CR1P board.
- P. Remove and replace, 1 each of GCIO360 board.
- Q. Remove and replace, 1 each of EBLON board.

SITE 3

- R. Remove and replace, 1 each of pit hydraulic line.
- S. Remove and replace, 1 each of hydraulic fitting.
- T. Remove and replace, 1 each of rupture valve.
- U. Remove and replace, 1 each of bottom floor door interlock.
- V. Remove and replace, 1 each of door gibs, set.
- W. Remove and replace, 1 each of door closure.
- X. Remove and replace, 1 each of packing.
- Y. Remove and replace, 1 each of safety edge.

SITE 4

- Z. Remove and replace, 1 each of pit hydraulic line.
- AA. Remove and replace, 1 each of hydraulic fitting.
- BB. Remove and replace, 1 each of rupture valve.
- CC. Remove and replace, 1 each of bottom floor door interlock.
- DD. Remove and replace, 1 each of door gibs, set.
- EE. Remove and replace, 1 each of door closure.
- FF. Remove and replace, 1 each of packing.
- GG. Remove and replace, 1 each of safety edge.

Work to be Completed total: \$231,594.00

1218648

LOCATION DAMAGES

Work to be completed

The applicant will utilize contracts for repairs to the XXXXX to restore facilities back to pre-disaster design, capacity and function within the existing footprint with in-kind materials.

Facility Damage:

- A. Remove and replace, 4 each of impedance bonds, 2970T/387R Part Number 800-0990006-10.
- B. Remove and replace, 3 each of impedance bonds, 3330T/432R Part Number 800-0990006-11.
- C. Remove and replace, 2 each of Pole, P/N 45901-01, C/L ASSY 4P R/L/G/R NJT W/BRCS.
- D. Remove and replace, 2 each of Head, P/N 41769-01 WAYSIDE SIGNAL 4P.
- E. Remove and replace, 1 each of Signal Cabinet, Selco Part Number, NE corten enclosure, 31 IN long x 54.75 IN high x 24 IN thick.

Work to be Completed total: \$114,924.00

Cost

Code	Quantity	Unit	Total Cost	Section
9001 (Contract)	1.00	Lump Sum	\$125,308.00	Uncompleted
9001 (Contract)	1.00	Lump Sum	\$231,594.00	Uncompleted
9001 (Contract)	1.00	Lump Sum	\$114,924.00	Uncompleted

CRC Gross Cost	\$471,826.00
Total 406 HMP Cost	\$0.00
Total Insurance Reductions	(\$21,550.83)
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CRC Net Cost	\$450,275.17
Federal Share (90.00%)	\$405,247.66
Non-Federal Share (10.00%)	\$45,027.51

Award Information

Version Information

Version #	Eligibility Status	Current Location	Bundle Number	Project Amount	Cost Share	Federal Share Obligated	Date Obligated
0	Eligible	Awarded	PA-02-NJ-4614-PW-01900(2005)	\$450,275.17	90%	\$405,247.65	7/27/2023

Drawdown History

EMMIE Drawdown Status As of Date	IFMIS Obligation #	Expenditure Number	Expended Date	Expended Amount
8/22/2023	4614DRNJP00019001	20213FWS-08212023	8/18/2023	\$40,524.77

Obligation History

Version #	Date Obligated	Obligated Cost	Cost Share	IFMIS Status	IFMIS Obligation #
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Subgrant Conditions

- As described in Title 2 Code of Federal Regulations (C.F.R.) § 200.333, financial records, supporting documents, statistical records and all other non-Federal entity records pertinent to a Federal award must be retained for a period of three (3) years from the date of submission of the final expenditure report or, for Federal awards that are renewed quarterly or annually, from the date of the submission of the quarterly or annual financial report, respectively, as reported to the Federal awarding agency or pass-through entity in the case of a subrecipient. Federal awarding agencies and pass-through entities must not impose any other record retention requirements upon non-Federal entities. Exceptions are stated in 2 C.F.R. §200.333(a) – (f)(1) and (2). All records relative to this project are subject to examination and audit by the State, FEMA and the Comptroller General of the United States and must reflect work related to disaster-specific costs.
- In the seeking of proposals and letting of contracts for eligible work, the Applicant/Subrecipient must comply with its Local, State (provided that the procurements conform to applicable Federal law) and Federal procurement laws, regulations, and procedures as required by FEMA Policy 2 CFR Part 200, Procurement Standards, §§ 317-326.
- The Recipient must submit its certification of the subrecipient's completion of all of its small projects and compliance with all environmental and historic preservation requirements within 180 days of the applicant's completion of its last small project, or the latest approved deadline, whichever is sooner.
- When any individual item of equipment purchased with PA funding is no longer needed, or a residual inventory of unused supplies exceeding \$5,000 remains, the subrecipient must follow the disposition requirements in Title 2 Code of Federal Regulations (C.F.R.) § 200.313-314.
- The terms of the FEMA-State Agreement are incorporated by reference into this project under the Public Assistance award and the applicant must comply with all applicable laws, regulations, policy, and guidance. This includes, among others, the Robert T. Stafford Disaster Relief and Emergency Assistance Act; Title 44 of the Code of Federal Regulations; FEMA Policy No. 104-009-2, Public Assistance Program and Policy Guide; and other applicable FEMA policy and guidance.
- The DHS Standard Terms and Conditions in effect as of the declaration date of this emergency declarations or major disaster, as applicable, are incorporated by reference into this project under the Public Assistance grant, which flow down from the Recipient to subrecipients unless a particular term or condition indicates otherwise.
- The Uniform Administrative Requirements, Cost Principles, and Audit Requirements set forth at Title 2 Code of Federal Regulations (C.F.R.) Part 200 apply to this project award under the Public Assistance grant, which flow down from the Recipient to all subrecipients unless a particular section of 2 C.F.R. Part 200, the FEMA-State Agreement, or the terms and conditions of this project award indicate otherwise. See 2 C.F.R. §§ 200.101 and 110.
- The subrecipient must submit a written request through the Recipient to FEMA before it makes a change to the approved scope of work in this project. If the subrecipient commences work associated with a change before FEMA approves the change, it will jeopardize financial assistance for this project. See FEMA Policy No. 104-009-2, Public Assistance Program and Policy Guide.
- Pursuant to section 312 of the Stafford Act, 42 U.S.C. 5155, FEMA is prohibited from providing financial assistance to any entity that receives assistance from another program, insurance, or any other source for the same work. The subrecipient agrees to repay all duplicated assistance to FEMA if they receive assistance for the same work from another Federal agency, insurance, or any other source. If an subrecipient receives funding from another federal program for the same purpose, it must notify FEMA through the Recipient and return any duplicated funding.

Insurance

Additional Information

6/16/2023

GENERAL INFORMATION

Event: DR4614-NJ

Project: ST 668260

Category of Work: Cat G - Parks, Recreation, Misc

Applicant: XXXX

Event Type: Tropical Storm

Cause of Loss: Flood

Incident Period: 9/1/2021 to 9/3/2021

Total Public Assistance Amount: CRC Gross Cost \$471,826.00

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COMMERCIAL INSURANCE INFORMATION

Does the Applicant have a Commercial Policy: Yes

Policyholder per Policy Documents: XXXXX

Policy Issued by: XXXXX

Policy Number: 1-30000-21

Policy Period: From: 7/1/2021 To: 7/1/2022

Policy Valuation: Blanket Policy

Policy Limits: \$XXXXXX

RCV or ACV: Replacement Cost Value

Deductible Type: Per Occurrence

Deductible Amount: \$5,000,000.00

Does the Applicant's Commercial Policy extend coverage for the damage described in this project: Yes

The amount of the flood deductible being funded in this project is \$439,610.53

Final Insurance Settlement Status: Damages for this project do not exceed the deductible

The amount of Anticipated Insurance Reduction applied for Project: \$0.00

NUMBER OF DAMAGED INVENTORIES INCLUDED IN THIS PROJECT: (3)

Damaged Inventory (DI) #1218646:

Number of damaged locations included in this DI: (3)

Location Description: XXXX

Cause of Loss: Flood

SOV / Schedule #: Not insured

SOV or Schedule Amount: Not insured

Applicable Deductible Amount: N/A

Damage Inventory Amount: CRC Gross Cost \$125,308.00

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Prior Obtain and Maintain Requirement:

No prior insurance requirements were found for this facility.

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Does the Applicant's Commercial Policy extend any coverage for this facility: No

Is the Facility located in a Special Flood Hazard Area (SFHA)? No

Zone: X

FIRM Date: 8/16/2006

Is there a Mandatory National Flood Insurance Program (NFIP) Reduction being made to this project: No.

If yes, see the amount in the Reduction(s) area below.

Does the Applicant have a Standard Flood Insurance Policy (SFIP) that provides coverage for this specific location: No

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Reduction(s):

No reduction will be made at this time as coverage is not anticipated.

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Obtain and Maintain Requirement:

No Obtain & Maintain Requirement is being mandated for the XXXXX because facility does not meet the definition of building, equipment, contents, or vehicle.

Damaged Inventory (DI) #1218647:

Number of damaged locations included in this DI: (4)

Location Description:

Cause of Loss: Flood

SOV / Schedule #: Flood

SOV or Schedule Flood XXXXX

Applicable Deductible Amount: \$5,000,000.00

Damage Inventory Amount: CRC Gross Cost \$231,594.00

LOCATION 1

Location Description: XXXXX

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GPS Coordinates:

Cause of Loss: Flood

SOV / Schedule #: Flood

SOV or Schedule Flood \$XXXXX

Applicable Deductible Amount: \$5,000,000.00

Damage Inventory Amount: CRC Gross Cost \$32,215.48

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Prior Obtain and Maintain Requirement:

No prior insurance requirements were found for this facility.

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Does the Applicant's Commercial Policy extend any coverage for this facility: Yes

SOV / Schedule #: Flood

SOV or Schedule Value Amount: Flood \$XXXX

Applicable Deductible Amount: \$5,000,000.00

Final Insurance Settlement Status: Damages for this project do not exceed the deductible

Is the Facility located in a Special Flood Hazard Area (SFHA)? No

Zone: X

FIRM Date: 8/16/2006

Is there a Mandatory National Flood Insurance Program (NFIP) Reduction being made to this project: No.

If yes, see the amount in the Reduction(s) area below.

Does the Applicant have a Standard Flood Insurance Policy (SFIP) that provides coverage for this specific location: No

Reduction(s):

No reduction is being made to this facility. FEMA's costing estimate is less than the deductible amount for this facility. The deductible in the amount \$5,000,000.00 will be considered for funding as this portion would have been the responsibility of the applicant; see: "DR4614_xxxx Letter to Insured 6.2.23.pdf". Total applied deductible to this facility is \$32,215.48.

Obtain and Maintain Requirement:

An Obtain & Maintain Requirement is being required for Building, for the peril of Flood for the xxxxxxx Elevator in the amount of \$32,215.48. Please see "Project 668260_XXXX_update 6.9.23" file.

LOCATION 2

Location Description: XXXXX

GPS Coordinates: XXXXX

Cause of Loss: Flood

SOV / Schedule #: Flood

SOV or Schedule Flood \$XXXXX

Applicable Deductible Amount: \$5,000,000.00

Damage Inventory Amount: CRC Gross Cost \$134,947.58

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Prior Obtain and Maintain Requirement:

No prior insurance requirements were found for this facility.

Does the Applicant's Commercial Policy extend any coverage for this facility: Yes

SOV / Schedule #: Flood

SOV or Schedule Value Amount: Flood \$XXXX

Applicable Deductible Amount: \$5,000,000.00

Final Insurance Settlement Status: Damages for this project do not exceed the deductible

Is the Facility located in a Special Flood Hazard Area (SFHA)? No

Zone: X

FIRM Date: 8/16/2006

Is there a Mandatory National Flood Insurance Program (NFIP) Reduction being made to this project: No.

If yes, see the amount in the Reduction(s) area below.

Does the Applicant have a Standard Flood Insurance Policy (SFIP) that provides coverage for this specific location: No

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Reduction(s):

No reduction is being made to this facility. FEMA's costing estimate is less than the deductible amount for this facility. The deductible in the amount \$5,000,000.00 will be considered for funding as this portion would have been the responsibility of the applicant; see: "DR4614_XXXX Letter to Insured 6.2.23.pdf". Total applied deductible to this facility is \$134,947.58.

Obtain and Maintain Requirement:

An Obtain & Maintain Requirement is being required for Building, for the peril of Flood for the XXXXX Elevator in the amount of \$134,947.58. Please see "Project 668260_XXXXXupdate 6.9.23" file.

LOCATION 3

Location Description: XXXXX

GPS Coordinates: XXXXX

Cause of Loss: Flood

SOV / Schedule #: Flood

SOV or Schedule Flood \$XXXXX

Applicable Deductible Amount: \$5,000,000.00

Damage Inventory Amount: CRC Gross Cost \$32,215.47

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Prior Obtain and Maintain Requirement:

No prior insurance requirements were found for this facility.

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Does the Applicant's Commercial Policy extend any coverage for this facility: Yes

SOV / Schedule #: Flood

SOV or Schedule Value Amount: Flood \$XXXXX

Applicable Deductible Amount: \$5,000,000.00

Final Insurance Settlement Status: Damages for this project do not exceed the deductible

Is the Facility located in a Special Flood Hazard Area (SFHA)? No

Zone: AE

FIRM Date: 8/16/2006

Is there a Mandatory National Flood Insurance Program (NFIP) Reduction being made to this project: Yes.

If yes, see the amount in the Reduction(s) area below.

Does the Applicant have a Standard Flood Insurance Policy (SFIP) that provides coverage for this specific location: No

Reduction(s):

A Mandatory Reduction Calculation Worksheet was prepared to compare the anticipated NFIP insurance proceeds and the Commercial Flood Anticipated insurance proceeds. The SFIP will provide the most financial benefit for the applicant. Therefore, a Mandatory NFIP reduction is being applied to this facility in the amount of \$21,550.83; see: "ST 668260 Mandatory Reduction No Basement Master and NFIP vs Commercial" file

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Obtain and Maintain Requirement:

An Obtain & Maintain Requirement is being required for Building, for the peril of Flood for XXXX Elevator

in the amount of \$32,215.47. Please see "Project 668260_XXXXX_update 6.9.23" file.

LOCATION 4

Location Description: XXXXX

GPS Coordinates:

Cause of Loss: Flood

SOV / Schedule #: Flood

SOV or Schedule Flood \$XXXXXX

Applicable Deductible Amount: \$5,000,000.00

Damage Inventory Amount: CRC Gross Cost \$32,215.47

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Prior Obtain and Maintain Requirement:

No prior insurance requirements were found for this facility.

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Does the Applicant's Commercial Policy extend any coverage for this facility: Yes

SOV / Schedule #: Flood

SOV or Schedule Value Amount: Flood \$XXXXXX

Applicable Deductible Amount: \$5,000,000.00

Final Insurance Settlement Status: Damages for this project do not exceed the deductible

Is the Facility located in a Special Flood Hazard Area (SFHA)? No

Zone: X

FIRM Date: 8/16/2006

Is there a Mandatory National Flood Insurance Program (NFIP) Reduction being made to this project: No.

If yes, see the amount in the Reduction(s) area below.

Does the Applicant have a Standard Flood Insurance Policy (SFIP) that provides coverage for this specific location: No

Reduction(s):

No reduction is being made to this facility. FEMA's costing estimate is less than the deductible amount for this facility. The deductible in the amount \$5,000,000.00 will be considered for funding as this portion would have been the responsibility of the applicant; see: "DR4614 XXXXX Letter to Insured 6.2.23.pdf". Total applied deductible to this facility is \$32,215.47.

Obtain and Maintain Requirement:

An Obtain & Maintain Requirement is being required for Building, for the peril of Flood for the XXXXX Elevator in the amount of \$32,215.47. Please see "Project 668260_HBLR Stations and Interlockings_update 6.9.23" file.

Damaged Inventory (DI) #1218648:

DAMAGED LOCATIONXXXXXX

Number of damaged locations included in this DI: (2)

Location Description: XXXXX

GPS Coordinates:

Cause of Loss: Flood

SOV / Schedule #: Not insured

SOV or Schedule Amount: Not insured

Applicable Deductible Amount: N/A

Damage Inventory Amount: CRC Gross Cost \$114,924.00

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Prior Obtain and Maintain Requirement:

No prior insurance requirements were found for this facility.

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Does the Applicant's Commercial Policy extend any coverage for this facility: No

Is the Facility located in a Special Flood Hazard Area (SFHA)? No

Zone: X

FIRM Date: 8/16/2006

Is there a Mandatory National Flood Insurance Program (NFIP) Reduction being made to this project: No.

If yes, see the amount in the Reduction(s) area below.

Does the Applicant have a Standard Flood Insurance Policy (SFIP) that provides coverage for this specific location: No

-

Reduction(s):

No reduction will be made at this time as coverage is not anticipated.

Obtain and Maintain Requirement:

No Obtain & Maintain Requirement is being mandated for the XXXX because facility does not meet the definition of building, equipment, contents, or vehicle.

Insurance Proceeds Statement:

FEMA acknowledges that the Applicant is in negotiations with their insurance carrier at the time of the FEMA insurance review and might have received partial settlements. In accordance with 44 CFR §206.250-253, in the absence of an actual settlement, anticipated insurance recoveries will be deducted from this project based on Applicant's insurance policy limits. FEMA subsequently adjusts the eligible costs based on the actual amount of insurance proceeds the Applicant receives after a final settlement.

FEMA's Recovery Policy FP 206-086-1, Public Assistance Policy on Insurance (June 29, 2015), requires applicants to take reasonable efforts to recover insurance proceeds that it is entitled to receive from its insurers. FEMA will consider final insurance settlements that may be less than the insurance policy limits when an applicant demonstrates that it has taken reasonable efforts to recover insurance proceeds that it is entitled on a case-by-case basis.

Standard Insurance Comments

FEMA Policy 206-086-1

PART 2: Other Insurance-Related Provisions. (Sections 312 and 406(d) of the Stafford Act)

A. Duplication of Benefits. FEMA cannot provide assistance for disaster-related losses that duplicate benefits available to an applicant from another source, including insurance.

1. Before FEMA approves assistance for a property, an applicant must provide FEMA with information about any actual or anticipated insurance settlement or recovery it is entitled to for that property.
2. FEMA will reduce assistance to an applicant by the amount of its actual or anticipated insurance proceeds.
3. Applicants must take reasonable efforts to recover insurance proceeds that they are entitled to receive from their insurer(s).
- ...
5. If an applicant has an insurance requirement from a previous event:
 - a. FEMA will reduce assistance by the actual or anticipated insurance proceeds, or the amount of insurance required in the previous disaster, whichever is greater.
 - b. FEMA will only consider insolvent insurers, legal fees, or apportionment of proceeds as described in Section VII, Part 2(A)(3) and (4) when the applicant's anticipated or actual insurance proceeds are higher than the amount of insurance required in the previous disaster.

FEMA Policy 206-086-1

H. Subsequent Assistance. When a facility that received assistance is damaged by the same hazard in a subsequent disaster:

1. If the applicant failed to maintain the required insurance from the previous disaster, then the facility is not eligible for assistance in any subsequent disaster.
2. Upon proof that the applicant maintained its required insurance, FEMA will reduce assistance in the subsequent disaster by the amount of insurance required in the previous disaster regardless of:
 - a. The amount of any deductible or self-insured retention the applicant assumed (i.e., "retained risk").
- ...
4. If the applicant's anticipated or actual insurance proceeds are higher than the amount of insurance required in the previous disaster, FEMA will reduce assistance by that amount in accordance with Section VII, Part 2(A) of this policy.

Obtain and Maintain Requirements:

44 CFR § 206.250 General

(d) The full coverage available under the standard flood insurance policy from the National Flood Insurance Program (NFIP) will be subtracted from otherwise eligible costs for a building and its contents within the special flood hazard area in accordance with **§ 206.252**.

44 CFR § 206.252 Insurance requirements for facilities damaged by flood.

(a) Where an insurable building damaged by flooding is located in a special flood hazard area identified for more than one year by the Administrator, assistance pursuant to section 406 of the Stafford Act shall be reduced. The amount of the reduction shall be the maximum amount of the insurance proceeds which would have been received had the building and its contents been fully covered by a standard flood insurance policy.

(b) The reduction stated above shall not apply to a PNP facility which could not be insured because it was located in a community not participating in the NFIP. However, the provisions of the Flood Disaster Protection Act of 1973 prohibit approval of assistance for the PNP unless the community agrees to participate in the NFIP within 6 months after the major disaster declaration date, and the required flood insurance is purchased.

(c) Prior to the approval of a Federal grant for the restoration of a facility and its contents which were damaged by a flood, the Grantee shall notify the Regional Administrator of any entitlement to an insurance settlement or recovery. The Regional Administrator shall reduce the

eligible costs by the amount of insurance proceeds which the grantee receives.

(d) The recipient or subrecipient is required to obtain and maintain flood insurance in the amount of eligible disaster assistance, as a condition of receiving Federal assistance that may be available. This requirement also applies to insurable flood damaged facilities located outside a special flood hazard area when it is reasonably available, adequate, and necessary. However, the Regional Administrator shall not require greater types and amounts of insurance than are certified as reasonable by the State Insurance Commissioner. The requirement to purchase flood insurance is waived when eligible costs for an insurable facility do not exceed \$5,000.00.

FEMA Policy 206-086-1

F. Timeframes for Obtaining Insurance. FEMA will only approve assistance under the condition that an applicant obtains and maintains the required insurance.

The applicant must document its commitment to comply with the insurance requirement with proof of insurance.

If an applicant cannot insure a facility prior to grant approval (for example, if a building is being reconstructed), the applicant may provide a letter of commitment stating that they agree to the insurance requirement and will obtain the types and extent of insurance required, followed at a later date by proof of insurance once it is obtained. In these cases, the applicant should insure the property:

- a. When the applicant resumes use of or legal responsibility for the property (for example, per terms of construction contract or at beneficial use of the property); or
- b. When the scope of work is complete.

FEMA and the recipient will verify proof of insurance prior to grant closeout to ensure the applicant has complied with the insurance requirement.

An applicant should notify FEMA—in writing through the recipient—of changes to their insurance which impact their ability to satisfy the insurance requirement after it provides proof of insurance to FEMA. This includes changes related to self-insurance. If an applicant fails to do this, FEMA may de-obligate assistance and not provide assistance in a future disaster.

Jean-Carlo Echevarria, PA Insurance Specialist

CRC Atlantic, Guaynabo, PR

O&M Requirements

Insured Peril	Item Type	Description	Required Coverage Amount
Flood (NFIP)	Building	An Obtain & Maintain Requirement is being required for Building, for the peril of Flood for XXXXX Elevator in the amount of \$32,215.47.	\$32,215.47
Flood (Non-NFIP)	Building	An Obtain & Maintain Requirement is being required for Building, for the peril of Flood for the XXXX Elevator in the amount of \$32,215.48.	\$32,215.48
Flood (Non-NFIP)	Building	An Obtain & Maintain Requirement is being required for Building, for the peril of Flood for the XXXXX Elevator in the amount of \$134,947.58.	\$134,947.58
Flood (Non-NFIP)	Building	An Obtain & Maintain Requirement is being required for Building, for the peril of Flood for the XXXXX Elevator in the amount of \$32,215.47.	\$32,215.47

406 Mitigation

There is no additional mitigation information on **Structures and Equipment - XXXXX**

Environmental Historical Preservation

Is this project compliant with EHP laws, regulations, and executive orders?

Yes

EHP Conditions

- Any change to the approved scope of work will require re-evaluation for compliance with NEPA and other Laws and Executive Orders.
- This review does not address all federal, state and local requirements. Acceptance of federal funding requires recipient to comply with all federal, state and local laws. Failure to obtain all appropriate federal, state and local environmental permits and clearances may jeopardize funding.
- If ground disturbing activities occur during construction, applicant will monitor ground disturbance and if any potential archaeological resources are discovered, will immediately cease construction in that area and notify the State and FEMA.

EHP Additional Info

There is no additional environmental historical preservation on **Structures and Equipment - XXXXX**

Final Reviews

Final Review

Reviewed By DUBE, DANIEL M.

Reviewed On 07/06/2023 8:56 AM CDT

Review Comments

Project is ready for Recipient Final Review

Recipient Review

Reviewed By Gloston, April

Reviewed On 07/06/2023 9:05 AM CDT

Review Comments

Approved

Project Signatures

Signed By Gallagher, Deb

Signed On 07/17/2023